

Quality Resource Guide

Techniques for Early Cancer Detection

Author Acknowledgements

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Educational Objectives

Following this unit of instruction, the learner should be able to:

1. Understand the causes of delayed diagnosis of oral cavity and pharynx cancer.
2. Understand the current standard for identifying premalignant and malignant lesions.
3. Understand the essential principles for cytology-based, light-based, vital stain-based and biomarker-based diagnostic adjuncts.
4. Apply objective criteria to assess the clinical utility and value of newly marketed adjunctive aids in one's practice.
5. Develop a disciplined protocol to routinely accomplish the conventional visual and tactile examination.

MetLife designates this activity for **1.0 continuing education credits** for the review of this Quality Resource Guide and successful completion of the post test.

The following commentary highlights fundamental and commonly accepted practices on the subject matter. The information is intended as a general overview and is for educational purposes only. This information does not constitute legal advice, which can only be provided by an attorney.

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Originally published June 2018. Updated and revised May 2021 and March 2024. Expiration date: March 2027.

The content of this Guide is subject to change as new scientific information becomes available.



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Introduction

For the year 2024, an estimated 58,450 individuals (41,510 men and 16,940 women) will be diagnosed with oral cavity and pharynx cancer in the United States.¹ The prevalence of oral cavity cancer in those over the age of 45 years is estimated to be 0.25%.² In 2020, there were approximately 424,284 individuals living with oral cavity and pharynx cancer in the United States and the overall 5-year survival rate is 68.5%.³ The stage at which it is diagnosed remains the most important prognostic factor in predicting survival.^{4,5} While the dental practitioner is often in the best position to first identify these cancers,⁶ only 29% of patients diagnosed with oral cavity and pharynx cancer present with localized early-stage disease.¹ Clearly, the dental profession's success in identifying oral cavity and pharynx cancers at an early stage remains a dilemma. The purpose of this Quality Resource Guide is to discuss the causes of delayed diagnosis of oral cavity and pharynx cancer, review the current standard for identifying oral cavity and pharynx cancer, and provide an assessment on currently available adjunctive devices marketed to improve the dental practitioner's ability to screen for and identify oral potentially malignant disorders (OPMDs).

Delays in Detection of Oral Cavity and Pharynx Cancer

Patient delay: An estimated 35% of the public does not seek dental care on an annual basis⁷ and patient delay is the most important factor underlying the deferred detection of oral cavity and pharynx cancer.^{8,9} Patient delay is defined as the interval between the patient's first awareness of a concern and his or her seeking assessment by a healthcare provider. Estimates for patient delay range from 3.5 to 6.8 months. Psychosocial factors, health-related behaviors, socioeconomic status, education level, and health care access or availability have been proposed as important factors contributing to patient delay.^{9,10}

Professional delay: The most relevant parameter of professional delay is the time from the patient's first encounter with the healthcare system to the start of definitive treatment. Factors to consider here include practitioner experience and thoroughness, and access to care issues.^{11,12}

A 2007 review found only 41% of patients with oral cavity cancer began definitive therapy within 30 days of their initial presentation for assessment.¹³ It is accepted that prolonged professional delay compromises successful therapy.^{8,9,13}

Current Standard for Identifying Oral Cavity and Pharynx Cancer

Visually inspecting the patient for oral cavity and pharyngeal cancer represents an integral component of the conventional visual and tactile examination (CVTE)* that should be afforded all patients.¹⁴ The CVTE is accomplished after a comprehensive review of the medical, social, and dental history.¹⁵ The CVTE, which entails the use of appropriate lighting to accomplish a thorough visual and tactile assessment of accessible extra-oral and intra-oral tissues, remains the foundation upon which lesions are discovered.⁴ Findings deemed suspicious for an OPMD should be immediately biopsied or referred to a specialist. Findings deemed nonsuspicious should be monitored periodically for change and/or resolution.

*A detailed review of the CVTE may be found in the *Quality Resource Guide*, "Performance of an Oral and Head and Neck Examination, 7th edition."

Adjunctive Aids

Numerous adjunctive aids are commercially available for dental practitioner use, with the promoted goal of improving the provider's ability to identify and/or assess OPMDs. The market for adjunctive aids is dynamic, with products being introduced and/or discontinued over time. For convenience, these adjuncts (see **Tables 1-4**) may be categorized as:^{15,16}

- cytology-based
- vital stain-based
- light-based
- biomarker-based

Cytology-based adjuncts are laboratory processes regulated by the Centers for Medicare & Medicaid Services (CMS) through the Clinical Laboratory Improvement Amendments (CLIA) program.¹⁷ Vital stain-based, light-based, and biomarker-based adjuncts are all considered to be medical

devices and are regulated by the Food and Drug Administration.¹⁸ It should be noted that updated FDA regulatory guidance for biomarker-based adjuncts is pending final approval.¹⁹

Cytology-based Adjunct

OralCDx[®] is specifically promoted to allow the clinician to "painlessly test any white or red spot in the mouth to rule out the possibility that precancerous cells are present"²⁰ The specimen is shipped to an off-site laboratory for analysis. The appropriate CDT code to use is D7288, "brush biopsy – transepithelial sample collection."¹⁴ Tested lesions that receive a "positive" or "atypical" result need to undergo a scalpel biopsy to determine the definitive diagnosis.

Advocates of cytology believe it can be reliably used to assess innocuous lesions for benignity, precancer and cancer, especially for patients hesitant to undergo biopsy.^{31,32} Cytology may also be a useful method for assessing a patient with multiple lesions throughout the mouth, where the attainment of multiple biopsies may be impractical.³³ Detractors contend cytology represents an unnecessary and often burdensome intermediate step since all "positive" or "atypical" results must be biopsied to determine the actual diagnosis.^{34,35} Furthermore, while promoted as being reassuring, cytology is not diagnostic for a persistent lesion.^{36,37}

Vital Stain-based Adjuncts

Toluidine blue (TB) is a metachromatic dye of the thiazine group that has an affinity to bind with DNA. It has been promoted as a chairside lesion assessment utility for decades to assess suspicious mucosal lesions.^{38,39} After rinsing with a 1% acetic acid solution, topical application of TB highlights rapidly dividing tissues such as inflammatory, regenerative, and neoplastic epithelial tissues. It also highlights exposed connective tissue.^{36,38}

TB has been advocated as a utility to monitor OPMDs for progression, to assess OPMD margins, and to monitor post-cancer treatment patients.^{40,41} However, false positive results are commonly encountered, especially in areas of inflammation.^{33,41}

TB is not cleared by the FDA as a stand-alone adjunct. It is cleared for marketing as a follow-on case assessment marking agent for the light-based adjunct ViziLite® TBlue Annual Oral Cancer Screening System.⁴² The premise is the TB allows for better visual lesion delineation of an area initially identified by the ViziLite®.⁴² It may also help the provider decide which area within a lesion should be biopsied. The appropriate CDT code to apply when using TB is D0431, “adjunctive pre-diagnostic test that aids in the detection of mucosal abnormalities including premalignant and malignant lesions, not to include cytology or biopsy procedures.”¹⁴

Light-based Adjuncts

Light-based adjuncts can be categorized into two basic groups (tissue reflectance and autofluorescence) based on the manner in which a specific spectrum of light is used to assess the reflective properties of the tissue. All are cleared for marketing by the FDA as chairside illumination aids to assist the practitioner in identifying new or potentially overlooked OPMDs.^{2,43} Some are also marketed to assist the surgeon in defining the appropriate surgical margins for excision.^{38,44} The appropriate CDT code to apply when using a light-based adjunct is D0431, “adjunctive pre-diagnostic test that aids in the detection of mucosal abnormalities including premalignant and malignant lesions, not to include cytology or biopsy procedures.”¹⁴

The tissue reflectance adjunct (ViziLite® TBlue Annual Oral Cancer Screening System) utilizes a blue-white light (wavelength of 430 and 580 nm) to analyze the tissues.⁴⁵ The light is generated through a reaction between acetylsalicylic acid and hydrogen peroxide (chemiluminescence). The protocol entails the use of a 60 second pre-rinse with a 1% acetic acid solution to remove the surface glycoprotein layer and cause cellular dehydration, to improve the exposure of cellular elements to the blue-white light.^{33,45} The premise is that healthy cells absorb the blue-white light while dysplastic cells reflect the blue-white back to the examiner as “aceto-white” brightness.^{36,46}

Table 1 - Available Cytology-based Adjuncts²⁰

Adjunct	Contact
OralCDx®	CDx Diagnostics, Suffren, NY

Table 2 - Available Vital Stain-based Adjunct²¹

Adjunct	Contact
Toluidine chloride stain (component of ViziLite® TBlue Annual Oral Cancer Screening System)	Den-Mat Holdings, LLC Lompoc, CA

Table 3 - Available Light-based Adjuncts²¹⁻²⁷

Adjunct	Contact
ViziLite® TBlue Annual Oral Cancer Screening System*	Den-Mat Holdings, LLC Lompoc, CA
VELscope®	LED Dental, White Rock, British Columbia, Canada
Bio/Screen®	AdDent, Inc., Danbury, CT
DOE SE Kit	DentLight Inc., Plano, TX
Identafi®	DentalEZ, Malvern, PA
OralID™	Forward Science, Houston, TX
ViziLite PRO® Oral Lesion Screening System	Den-Mat Holdings, LLC Lompoc, CA
Goccles	Pierrel S.P.A., Capua, Italy

Table 4 - Available Biomarker-based Adjuncts²⁸⁻³⁰

Product	Company	Biomarkers Assessed
OraRisk® HPV Complete Genotype	OralDNALabs Eden Prairie, MN	51 HPV strains, to include low, high and unknown risk strains
OralCDx®	CDx Diagnostics Suffren, NY	Immunohistochemistry testing for CDX2, MUC2, AMACR, P16, P53, KI67, HSV, <i>H.pylori</i>
CancerDetect® Oral & Throat	Viome Life Sciences, Inc. Bellevue, WA	Metatranscriptome (RNA), microbiome

Autofluorescence adjuncts (VELscope®, BioScreen®, DOE SE Kit, OralID™, ViziLite PRO® Oral Lesion Screening System, Goccles) use light spectra in the 390 – 460 nm range to assess the autofluorescent character of the mucosal tissues.⁴⁵ A narrow band filter (either

in the device viewfinder or via eyewear) is used to highlight the autofluorescent character of the examined tissue. The working premise is that dysplastic or carcinogenic tissues are associated with an altered autofluorescence signature, due to increased collagen destruction, specific nuclear/

cytoplasmic ratios, and angiogenesis. Healthy tissue appears pale green during autofluorescence, while suspicious tissues appear dark (loss of fluorescence).^{16,45}

Biomarker-based Adjuncts

Research to leverage biomarker profiling to develop a non-invasive saliva sourced liquid biopsy-based adjunct to assess OPMDs has accelerated over the past decade.⁴⁷⁻⁴⁹ The number of biomarkers purportedly associated with OPMDs and oral cancer continues to evolve and grow (e.g., DNAs, RNAs, proteins, metabolites and microbiota) and numerous biomarker-based panels have been proposed to interrogate OPMDs for dysplasia and carcinoma.⁵⁰⁻⁵⁸ The development of a reliable, predictive salivary test to assess OPMDs for malignancy would be a “game changer.”² However, most of these proposals have been based on small proof-of-concept studies comparing test performance against a cancer cohort and a healthy noncancer control cohort. Nonetheless, three biomarker-based adjunctive tests (Table 4) have been introduced to screen for the presence and/or risk of oral cavity and pharynx cancer. These adjuncts require shipment to an off-site laboratory for analysis.

The OraRisk® HPV Complete Genotype is a PCR-based saliva test that screens for 51 low and high risk strains of HPV in saliva.²⁸ A detailed review of Human Papilloma Virus (HPV) may be found in the Quality Resource Guide, “HPV and Oral Cancer, 3rd edition.”

OralCDx® cytology submissions, when indicated, undergo immunohistochemistry screening for the biomarkers CDX2, MUC2, AMACR, P16, P53, KI67, HSV, and *H. pylori*.²⁹ Altered expressions of AMACR, p16, p53, and Ki67 have been noted oral cavity and pharynx cancer.⁵⁹⁻⁶²

The CancerDetect® Oral & Throat screening adjunct from Viome Life Sciences, Inc., uses high-resolution metatranscriptomic analysis to identify patients at risk for OPMD and cancer. The company claims a sensitivity and specificity of ≥90% and ≥95%, respectively.^{30,62} The test is not FDA-approved or cleared.

Performance of Adjunctive Devices

An ideal diagnostic adjunct to assess OPMDs should:

- 1) be simple, safe and acceptable to the public
- 2) detect early stage disease
- 3) preferentially detect lesions likely to progress
- 4) detect lesions which are manageable
- 5) have a high positive predictive value and a low false negative value.³³

Cytology, Vital Stain and Light-based Adjuncts

The performance of available cytology, vital stain, and light-based adjuncts was assessed in a recently published vigorous review of the available quality evidence.² The investigators were able to determine the estimated sensitivity and specificity values for cytology, vital stain, and light-based diagnostic adjuncts when used to assess seemingly innocuous lesions and OPMDs (Table 5).

In applying their values, the authors calculated that for every 100,000 patients with an OPMD, there would 250 true cancers. Thus, the use of:

- **cytology** to assess the OPMDs would miss 20 cancers (false negative) and misidentify 5,985 as cancers (false positive).
- **vital staining** would yield 33 false negatives and 28,927 false positives.

- **tissue reflectance** would yield 70 false negatives and 68,827 false positives.
- **autofluorescence** would yield 25 false negatives and 27,930 false positives.

A more recent Cochrane Library review of 63 diagnostic adjunct studies (79 data sets) determined the sensitivity and specificity for oral cytology was 0.90 and 0.94; for vital staining was 0.86 and 0.68; and for light-based was 0.87 and 0.50 to evaluate an OPMD.⁶⁴ The authors concluded none of the adjunctive tests could be recommended as a replacement for surgical biopsy and histological assessment.

The clinical implications of test accuracy are best appreciated by understanding the consequences of the results for patients. A test that yields high proportions of true positive and true negative results is the ideal. Unfortunately, most screening tests are less than completely accurate, making it important to appreciate the consequences for the patient of false positive and false negative results. A false positive occurs when the screening test labels the site as cancerous when in fact it is not. This false result subjects the patient to needless worry and possibly an unnecessary biopsy. Conversely, a false negative test result gives the patient a false sense of security that there is no cancer when there is. Given the modest to poor performance of the currently available adjuncts, the practitioner and patient need to be fully aware of these negative consequences when deciding whether to use one of these screening tests.

Table 5 - Estimated sensitivity and specificity values for adjunctive devices²

Adjunct	Sensitivity/Specificity for Innocuous Lesions	Sensitivity/Specificity for Suspicious Lesions
Cytology	0.96 / 0.90	0.92 / 0.94
Vital Stain	ND	0.87 / 0.71
Tissue Reflectance	0.00 / 0.76	0.72 / 0.31
Autofluorescence	0.50 / 0.39	0.90 / 0.72
ND: Not determined due to insufficient data		

Biomarker-based Adjuncts

High-risk HPV prevalence in the United States is estimated at 4.0% among adults aged 18 to 69 (men, 6.8%; women, 1.2%).⁶⁵ Over 90 per cent of these infections will clear within 2 years.⁶⁶ The sensitivity and specificity of salivary HPV testing is 72 and 92, leading to a high number of false negatives and false positives when used as a screening utility in clinical practice.⁶⁷ Finally, as there are no available interventions to treat HPV infection, screening could incur significant anxiety for those who screen positive for a high-risk HPV.⁶⁸ Routine salivary screening for oral HPV is not recommended.

At present, there is insufficient evidence to adjudicate the clinical performance of the OralCDx[®] immunohistochemistry adjunctive test and the biomarker-based CancerDetect[®] Oral & Throat screening adjunct. Rigorous large-scale trials to validate their test accuracy in assessing a diverse patient cohort are necessary to endorse their use in clinical practice.

Summary

Disciplined performance of the CVTE on a regular basis remains the standard to identify OPMDs. Any OPMD or equivocal lesion should be immediately biopsied or referred to a specialist. Lesions deemed innocuous should undergo periodic monitoring for change and/or resolution. Evidence supporting the use of available adjunctive devices in clinical practice to discover or assess OPMDs is low and their use is associated with a high burden of false positive results. At present their utility is very limited and clinicians should remain skeptical about insufficiently validated marketing claims.

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POST-TEST

Internet Users: This page is intended to assist you in fast and accurate testing when completing the “Online Exam.” We suggest reviewing the questions and then circling your answers on this page prior to completing the online exam.

(1.0 CE Credit Contact Hour) Please circle the correct answer. 70% equals passing grade.

1. In 2020 the number of individuals living with oral cavity and pharynx cancer in the United States was estimated to be:
 - a. 225,000
 - b. 325,000
 - c. 425,000
 - d. 525,000
2. The most important factor contributing to the delayed diagnosis of oral cavity and pharynx cancer is:
 - a. the clinician’s failure to use innovative technologies on a routine basis.
 - b. the patient’s tardiness to obtain an evaluation.
 - c. lack of examination consistency.
 - d. professional delay.
3. Components of a proper examination to identify an OPMD include all of the following **EXCEPT**:
 - a. A comprehensive review of the medical, dental, and social history
 - b. A thorough visual and tactile extra-oral and intra-oral examination
 - c. Referral or biopsy of any discovered lesion deemed suspicious
 - d. Saliva testing for the presence of a high-risk HPV
4. All of the following statements regarding TB are true, **EXCEPT** for one. Which one is the exception?
 - a. It is a metachromatic dye with an affinity to bind DNA.
 - b. It is marketed as a case-assessment utility with the ViziLite® tissue reflectance product.
 - c. It is cleared by the FDA as a standalone adjunctive screening aid.
 - d. The presence of inflammation is associated with increased false positive results.
5. Of the available adjunctive devices to improve the clinician’s ability to identify a potentially malignant disorder, which one uses the principle of tissue reflectance?
 - a. ViziLite® TBlue Annual Oral Cancer Screening System
 - b. Goccles
 - c. Bio/Screen®
 - d. ViziLite PRO®
6. When using an autofluorescent adjunctive device, healthy tissues should appear:
 - a. dark blue.
 - b. pale magenta.
 - c. pale green.
 - d. bright white.
7. All of the following statements regarding biomarker-based adjuncts are true, except for one. Which one is the exception?
 - a. Potential biomarkers include DNAs, RNAs, proteins, metabolites, and microbiota.
 - b. Numerous biomarker-based panels have been proposed to interrogate OPMDs for dysplasia and carcinoma.
 - c. Biomarker-based adjuncts are not regulated by the FDA.
 - d. Large scale trials to determine the accuracy of biomarker-based adjuncts are lacking.
8. A positive result from OraRisk® HPV testing means:
 - a. the oral mucosa contains dysplastic cells.
 - b. the oral mucosa contains cancerous cells.
 - c. the oral mucosa will develop cancer.
 - d. the oral mucosa contains HPV DNA.
9. For which category of screening adjuncts is there insufficient evidence to assess their true value in clinical practice?
 - a. Cytology-based
 - b. Light-based
 - c. Vital stain-based
 - d. Biomarker-based
10. Which category of screening adjuncts generates the lowest number of false positives results?
 - a. Cytology-based
 - f. Light-based
 - g. Vital stain-based
 - h. Biomarker-based

Registration/Certification Information (Necessary for proper certification)

Name (Last, First, Middle Initial): _____

PLEASE PRINT CLEARLY

Street Address: _____ Suite/Apt. Number _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax: _____

Date of Birth: _____ Email: _____

State(s) of Licensure: _____ License Number(s): _____

Preferred Dentist Program ID Number: _____ Check Box If Not A PDP Member

AGD Mastership: Yes No

AGD Fellowship: Yes No Date: _____

Please Check One: General Practitioner Specialist Dental Hygienist Other

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Evaluation - Techniques for Early Cancer Detection 3rd Edition

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1 = POOR

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	1	2	3	4	5	
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4. Please rate the written materials and visual aids used.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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10
9
8
7
6
5
4
3
2
1
0

extremely likely
neutral
not likely at all

What is the primary reason for your 0-10 recommendation rating above?

11. Please identify future topics that you would like to see:

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